



# Preparation, characterizations, dielectric properties and nonlinear behavior of $(\text{Na}_{1/3}\text{Ca}_{1/3}\text{Yb}_{1/3})\text{Cu}_3\text{Ti}_4\text{O}_{12}$ ceramics

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## ABSTRACT

We present the investigation of phase formation, microstructural, dielectric properties and nonlinear behaviors of  $(\text{Na}_{1/3}\text{Ca}_{1/3}\text{Yb}_{1/3})\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics prepared by a modified sol-gel method under various sintering conditions. According to the Rietveld refinement analysis, the main phase  $\text{CaCu}_3\text{Ti}_4\text{O}_{12}$ -like  $(\text{Na}_{1/3}\text{Ca}_{1/3}\text{Yb}_{1/3})\text{Cu}_3\text{Ti}_4\text{O}_{12}$  structure with a lattice constant of  $\approx 7.384 \text{ \AA}$  was observed in the studied samples. The surface microstructural changes for the ceramics at different conditions were examined by scanning electron microscopy. The dielectric response and nonlinear behaviors of the specimens were methodically explored. At 1 kHz, a high dielectric constant of  $5.49 \times 10^3$  with a low dielectric loss tangent  $\approx 0.075$  was successfully achieved. The sintered  $(\text{Na}_{1/3}\text{Ca}_{1/3}\text{Yb}_{1/3})\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics exhibited good nonlinear behavior. The interfacial polarization at the grain boundaries based on the internal barrier layer capacitor structure could explain the dielectric behavior of the studied specimens. From the results of the X-ray photoelectron spectroscopy (XPS) analysis, the presence of  $\text{Cu}^+$ ,  $\text{Cu}^{2+}$ , and  $\text{Ti}^{3+}$  ions might be the major cause of the n-type semiconductor nature inside the grains.

## 1. Introduction

The increasing performance of multilayer ceramic capacitors on giant dielectric compounds has been extensively investigated because of the massive demand for their applications in microelectronic industries. It is well known that a low dielectric loss tangent ( $\tan\delta$ ) and large dielectric constant ( $\epsilon'$ ) over the temperature range of dielectric materials are highly desirable for industrial capacitor applications [1–19]. Over the past years, many studies have been investigated on the giant dielectric properties of perovskite-like  $\text{ACu}_3\text{Ti}_4\text{O}_{12}$  (ACTO) compounds.  $\text{CaCu}_3\text{Ti}_4\text{O}_{12}$  (CCTO), an interesting member of the family of materials, exhibits a very large  $\epsilon'$  value of approximately  $10^4$  over a broad temperature range with no phase transition [4]. Despite no clear explanation of the original cause of giant dielectric behaviors in ACTO-based materials, it is widely accepted that the grains and grain boundaries (GBs) of CCTO polycrystalline ceramics are semiconducting and insulating phases, respectively [10,20–22]. This electrically heterogeneous microstructure model is known as an internal barrier layer capacitor

(IBLC) structure. In contrast, intrinsic effects (i.e., mixed valence, structural frustration, and electron hopping) of the crystal structure cannot be overpassed [12]. Although giant dielectric behavior has been observed in CCTO polycrystalline ceramics, the high dielectric loss value limits their application limited [12,13,15,21,22]. Moreover, CCTO and ACTO-related ceramics display nonlinear current density ( $J$ ) – electric field strength ( $E$ ) properties, which make them desirable for varistor applications [10,16,23]. This extra behavior is resulted from the existence of an internal potential barrier along the GBs, which is the main source of the nonlinear behavior in their ACTO-related ceramics [10].

The  $\text{ACu}_3\text{Ti}_4\text{O}_{12}$ -family members, where  $A = \text{Y}_{2/3}$ ,  $\text{Sm}_{1/2}\text{Na}_{1/2}$ ,  $\text{Y}_{1/2}\text{Na}_{1/2}$ ,  $\text{La}_{1/2}\text{Na}_{1/2}$ , and  $\text{Na}_{1/3}\text{Ca}_{1/3}\text{Y}_{1/3}$ , have also been confirmed to exhibit high  $\epsilon'$  values at room temperature (RT), similar to CCTO ceramics [4–7,23–28]. The mechanism to interpret the nature for the unusual dielectric phenomenon of these polycrystalline materials is widely described as the stronger IBLC effect. According to the  $\text{ACu}_3\text{Ti}_4\text{O}_{12}$  family, some members exhibit interesting dielectric and nonlinear properties. For example, a high  $\epsilon'$  of  $8.7 \times 10^3$  with low  $\tan\delta$

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values of 0.07 at 1 kHz and RT can be obtained in  $\text{Na}_{1/2}\text{La}_{1/2}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics [26]. The sintered  $\text{Y}_{2/3}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics exhibited a low  $\tan\delta$  of 0.033 and a large  $\epsilon'$  with good temperature stability [27]. The excellent dielectric properties of  $[\text{Na}_{1/3}\text{Ca}_{1/3}\text{Lm}_{1/3}^{3+}]\text{-Cu}_3\text{Ti}_4\text{O}_{12}$ , where the  $\text{Lm}^{3+}$  ions were  $\text{Y}^{3+}$  and  $\text{Bi}^{3+}$ , were successfully obtained. For the  $(\text{Na}_{1/3}\text{Ca}_{1/3}\text{Bi}_{1/3})\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics, a low  $\tan\delta$  value of  $\approx 0.04$  and an  $\epsilon'$  value higher than  $10^4$  were obtained at RT and 1 kHz. In addition, it exhibits good nonlinear  $J$ - $E$  properties [25].

To optimize the high dielectric performance of CCTO-based ceramics, one of the investigations during the last decade has been to study the preparation processes. Conventional mixed-oxide or solid-state reaction methods are typically used to synthesize ACTO-related ceramics [11–14]. However, mythology requires repetitive grinding and firing at high temperatures ( $\approx 1050$  °C) and long reaction times to eliminate the possible impurity phases. In contrast, the dielectric response can be significantly improved using chemical methods to synthesize CCTO-related compounds [9,18,19,29–31]. One of the most effective chemical methods is the sol-gel method, which is used to produce nanocrystalline CCTO-based compounds. Although many researcher groups mainly focused on the improvement of the dielectric properties in CCTO-based ceramics obtained by optimizing the doping or substituted modification or controlling preparation process, the reports in the effect of the modified substitution with mixed valence states in the A-sites for the CCTO structure combined with the controlled chemical solution for synthesis A-ternary CCTO-type  $(\text{Lx}_{1/3}^+ \text{Ln}_{1/3}^{2+} \text{Lm}_{1/3}^{3+})\text{Cu}_3\text{Ti}_4\text{O}_{12}$  materials on the dielectric properties and nonlinear behaviors to seek the new giant dielectric materials have seldom been investigated systematically. Thus, quantification of this concept is important and constituted the main objective of the present work.

It was reported that the substituted  $\text{Yb}^{3+}$  ions in the CCTO ceramics prepared by a modified sol-gel method have greatly impacted on the dielectric properties and electric response. The reduction in  $\tan\delta$  for the CCTO ceramics were obtained with the  $\text{Yb}^{3+}$  substitution. The average grain size of CCTO ceramics were reduced by increasing with  $\text{Yb}^{3+}$  doping concentration. The microstructural changes had a slight impact on the dramatic increase observed in resistance at GBs ( $R_{\text{gb}}$ ) of Yb-doped CCTO ceramics. It can be described that the increase in  $R_{\text{gb}}$  related to reduced  $\tan\delta$  may be caused by enhancing the electrostatic potential height at the GBs [32]. According to our previous work, high  $\epsilon'$  values ( $>10^4$ ) with  $\tan\delta$  values below 0.1 at  $10^3$  Hz were successfully obtained in  $\text{Na}_{1/3}\text{Ca}_{1/3}\text{Y}_{1/3}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics prepared by the simple sol-gel method. The results found that  $\epsilon'$  increased with increasing average grain size with increasing sintering time based on the IBLC effect. In addition, the intrinsic properties (e.g. Schottky barrier) at the GBs related to  $R_{\text{gb}}$  value for the  $\text{Na}_{1/3}\text{Ca}_{1/3}\text{Y}_{1/3}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics were enhanced, giving rise to the decreased dielectric loss values [24]. Hence, a study on the grain size control by sintering process in the mixed three-valence states ( $\text{Lx}^+$ ,  $\text{Ln}^{2+}$  and  $\text{Lm}^{3+}$  ions) into A-site in the ACTO lattice structure is still interesting and will provide a possible way to seek the new isostructural CCTO-type dielectric materials, showing giant dielectric properties and good nonlinear response. Therefore, from the previous concept, the aim of this research is to study the effect of the random distribution of  $\text{Na}^+$ ,  $\text{Ca}^{2+}$  and  $\text{Yb}^{3+}$  ions in the A-site for the ACTO lattice, as the nominal formula of  $\text{Na}_{1/3}^+ \text{Ca}_{1/3}^{2+} \text{Yb}_{1/3}^{3+} \text{Cu}_3\text{Ti}_4\text{O}_{12}$  (NCYbCTO) materials prepared by chemical method under the different preparation conditions on the structural, dielectric properties, electrical response and nonlinear behaviors. It is expected that a single phase NCYbCTO could be formed. Moreover, the improved dielectric properties and good nonlinear  $J$ - $E$  behaviors of the ceramics by changing the intrinsic electrical properties at the GBs related to the dielectric loss could be accomplished as to the obtained in the  $\text{Na}_{1/3}\text{Ca}_{1/3}\text{Y}_{1/3}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  [24].

In this study, we used a simple thermal decomposition method to synthesize A-ternary CCTO-type NCYbCTO nanocrystalline powders. The effects of the preparation conditions on the structural,

microstructural, dielectric, and nonlinear behaviors were systematically observed and discussed in detail. Densification of the ceramics showed that dense ceramic microstructures were obtained at a sintering temperature of 1075 °C. When the sintering temperature increased to 1100 °C, it was found that the sintered NCYbCTO ceramics decomposed and melted. Interestingly, the  $\epsilon'$  values were higher than  $10^3$ , and the obtained low  $\tan\delta$  value was approximately 0.075 at 20 °C and 1 kHz. Good nonohmic behaviors were obtained for the sintered NCYbCTO ceramic samples. Impedance spectroscopy technique was employed to study the electrical responses of the grain and GB. The possible nature of this dielectric phenomenon is discussed in detail.

## 2. Experimental details

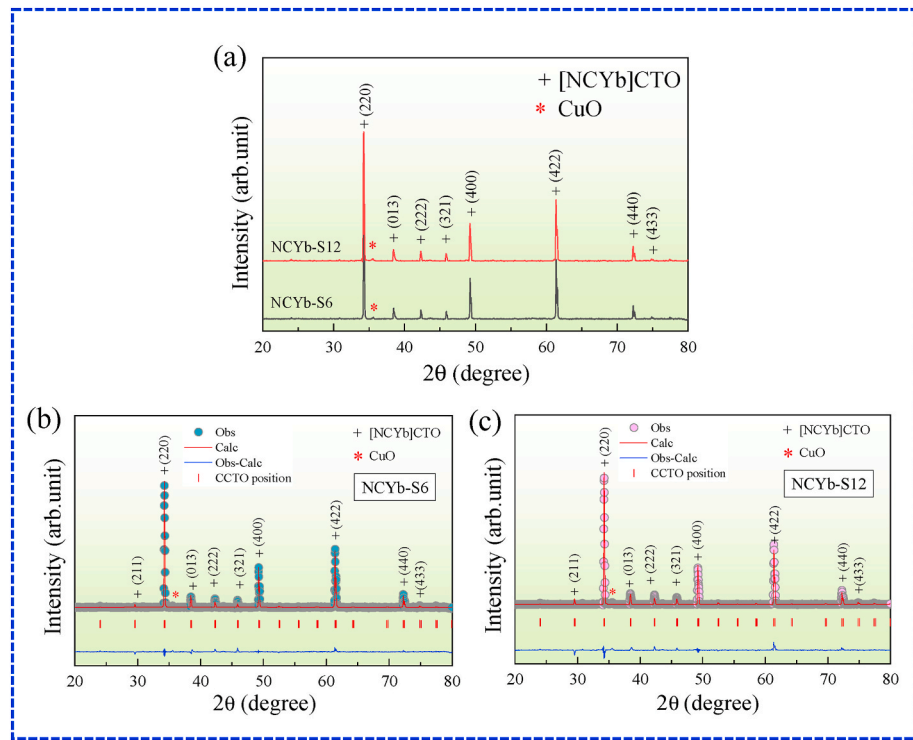
A fine NCYbCTO powder was synthesized by a simple thermal decomposition process using sodium acetate ( $\text{NaCH}_3\text{COO}$ ), calcium acetate ( $\text{Ca}(\text{C}_2\text{H}_3\text{CO}_2)_2 \cdot \text{H}_2\text{O}$ ), yttrium acetate ( $(\text{CH}_3\text{CO}_2)_3\text{Yb} \cdot \text{H}_2\text{O}$ ), copper acetate ( $\text{Cu}(\text{CH}_3\text{COO})_2 \cdot \text{H}_2\text{O}$ ),  $\text{C}_{16}\text{H}_{28}\text{O}_6\text{Ti}$  (75 wt% in isopropanol), de-ionized water, ethanol, citric acid, and ethylene glycol as raw starting materials. Details of the preparation process are described elsewhere [24]. Then, the obtained precursor was calcined at 850 °C for 8 h to form a substrate powder. The calcined powder was carefully ground and sifted to obtain fine NCYbCTO powder. Subsequently, the resulting powder was cold-pressed into disks (diameter  $\approx 9.8$  mm and thickness  $\approx 2.0$  mm). The studied sintering conditions for the NCYbCTO green-body disks in this experimental were 1075 °C for 6 and 12 h (identified as the NCYb-S6 and NCYb-S12 samples, respectively).

X-ray diffraction (XRD; PANalytical, EMPYREAN) was used to determine the crystalline phase structure of the ceramic samples. The phase compositions and lattice parameters were refined using the Rietveld refinement technique. Transmission electron microscopy (TEM; Eindhoven) with energy-dispersive X-ray analysis (EDS) was used to determine the particle size and shape and to analyze the chemical composition of the calcined NCYbCTO powder. Desktop scanning electron microscopy (SEM; SEC, SNE4500 M) was employed to examine surface morphologies of the ceramics. X-ray photoelectron spectroscopy (XPS; PHI5000 VersaProbe II, ULVAC–PHI) was performed to study the oxidation states of the transition metals in the sintered ceramic. The obtained XPS data were analyzed using the MultiPak software packaged by ULVAC-PHI, Inc.

For the dielectric and electrical measurements, details of the sample preparation were explained in our previous work [23]. A KEYSIGHT E4990A impedance analyzer was used to study the complex impedances and dielectric electrical properties. The measurement conditions in this work were measured over temperature and frequency ranges of  $-60$ – $200$  °C and  $10^2$ – $10^7$  Hz, respectively. The nonlinear  $J$ - $E$  behaviors at room temperature (RT) in silicone oil bath were studied (Keithley Model 247). The calculated nonlinear parameters have been described elsewhere [23].

## 3. Results and discussion

The crystalline phase composition of  $(\text{Na}_{1/3}^+ \text{Ca}_{1/3}^{2+} \text{Yb}_{1/3}^{3+})\text{Cu}_3\text{Ti}_4\text{O}_{12}$  specimens were investigated. The X-ray diffraction patterns for NCYbCTO ceramics are displayed in Fig. 1. The major CCTO phase (a body-centered cubic (BCC) structure, JCPDS No. 75–2188) was detected in both samples [4,6,8]. This observation is similar to that reported for  $\text{Na}_{1/3}\text{Ca}_{1/3}\text{Y}_{1/3}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics [23]. It is worth noting that, a small amount of secondary phase (CuO), corresponding to that the  $2\theta$  peak at approximately 35° is also existed in both ceramics. The experimental XRD patterns of the sintered NCYbCTO ceramics fitted by the Rietveld refinement method are illustrated in Fig. 1(b)–1(c), confirming the existence of a main phase of NCYbCTO, which is structured like CCTO. Corresponding to the Rietveld refinement profile fit, the quality data of the lattice constant ( $a$ ), residual profile ( $R_p$ ), expected ( $R_{\text{exp}}$ ), and weighted profiles ( $R_{\text{wpp}}$ ), as well as the goodness of fit (GOF) values, were



**Fig. 1.** (a) XRD patterns of the  $(\text{Na}_{1/3}\text{Ca}_{1/3}\text{Yb}_{1/3})\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics sintered at 1075 °C for 6 and 12 h. Rietveld refinement profiles fitted for the (b) NCYb-S6 and (c) NCYb-S12 ceramics.

quantified and are listed in Table 1. The factor values of  $R_{\text{exp}}$ ,  $R_p$ , and  $R_w$  for the ceramic samples were lower than 10%. The GOF values were approximately 2–4%. The  $a$  values of the C1-S6 and C1-S12 ceramic samples were 7.385(5) and 7.383(2) Å, respectively. These values are between the corresponding values for  $\text{Na}_{1/2}\text{Yb}_{1/2}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  (7.361 Å) and CCTO (7.391 Å) [4]. It is because a  $\text{Ca}^{2+}$  ion ( $r_6 = 0.99$  Å) of the CCTO structure is larger than that of the mean  $\text{Na}^+$  ( $r_6 = 0.95$  Å) and  $\text{Yb}^{3+}$  ( $r_6 = 0.86$  Å) ions in the  $\text{Na}_{1/2}\text{Yb}_{1/2}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  [33]. There were the randomly distribution of  $\text{Na}^+$ ,  $\text{Yb}^{3+}$ , and  $\text{Ca}^{2+}$  ions at the A-site of crystal. The enlarged  $a$  values for the NCYbCTO structure may be due to the partial replacement of  $\text{Na}^+$  and  $\text{Yb}^{3+}$  ions in the  $\text{Na}_{1/2}\text{Yb}_{1/2}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  structure by  $\text{Ca}^{2+}$  ions. It is found that the  $a$  values of NCYbCTO ceramics are slightly lower than that observed in  $\text{Na}_{1/3}\text{Ca}_{1/3}\text{Y}_{1/2}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics prepared by the solid state reaction and the chemical methods [23,24], whereas the values are largely lower than that obtained in  $\text{Na}_{1/3}\text{Ca}_{1/3}\text{Bi}_{1/2}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics [25]. These may be due to the difference in the ionic radii of the A-sites of the crystal lattice. Considering the ionic radii for only  $Lm^{3+}$  charge ions, it was observed that  $\text{Yb}^{3+}$  ion is smaller than those of both  $\text{Y}^{3+}$  ( $r_6 = 0.93$  Å) and  $\text{Bi}^{3+}$  ( $r_6 = 1.03$  Å) ions [33] (see Table 2).

Fig. 2(a) shows the EDS spectra of the NCYbCTO powder. It is clearly seen that the main elemental elements, Na, Ca, Yb, Cu, Ti, and O, were detected. As shown in the inset of Fig. 2(a), the particle size of the calcined powder is approximately 180–400 nm. To study the surface microstructure for the NCYbCTO ceramics sintered at 1075 °C, as revealed in Fig. 2(b)–(c), it was found that the sintering conditions had a significant impact on the microstructure. The results showed that the

**Table 1**

Dielectric constant ( $\epsilon'$ ) and loss tangent ( $\tan\delta$ ) at 20 °C and 1 kHz, the activation energy for dielectric relaxation ( $E_a$ ), for dc conduction ( $E_{\text{cond}}$ ) and for conduction at grain ( $E_g$ ) and at grain boundaries ( $E_{\text{gb}}$ ), non-Ohmic properties ( $\alpha$  and  $E_b$  values) at RT for NCYbCTO samples sintered at 1075 °C for 6 and 12 h.

Sample	$\epsilon'$	$\tan\delta$	$E_a$ (eV)	$E_{\text{cond}}$ (eV)	$E_g$ (eV)	$E_{\text{gb}}$ (eV)	$\alpha$	$E_b$ (V/cm)
NCYb-S6	5095	0.076	0.145	0.661	0.125	0.654	4.83	3591
NCYb-S12	5488	0.075	0.122	0.639	0.106	0.649	4.92	3559

**Table 2**

Lattice parameter (a) and structural data obtained from the Rietveld refinement profile fits of the  $(\text{Na}_{1/3}\text{Ca}_{1/3}\text{Yb}_{1/3})\text{Cu}_3\text{Ti}_4\text{O}_{12}$  samples sintered at 1075 °C for 6 and 12 h.

Ceramic	$a$ (Å)	$R_{\text{exp}}$ (%)	$R_p$ (%)	$R_w$ (%)	GOF
NCYb-S6	7.385(5)	5.161	5.910	8.790	2.901
NCYb-S12	7.383(2)	4.262	5.047	8.531	4.007

average grain size of the sintered NCYbCTO ceramics at 1075 °C increased slightly as the holding time increased from 6 to 12 h. The change in the grain size of the ceramics may be due to the liquid-phase sintering mechanism, as observed in CCTO-related ceramics [11,24,34–36]. This mechanism is reasonable since the eutectic temperature of  $\text{CuO-TiO}_2$  is approximately 919 °C [13,37,38]. The detected  $\text{CuO}$ -rich phase with irregular shape along the grain boundaries for the NCYbCTO ceramics is observed as the same occurred in the  $\text{Na}_{1/3}\text{Ca}_{1/3}\text{Y}_{1/3}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics [24]. The observed secondary phase in the NCYbCTO ceramics might also be owing to the large disparity of  $\text{Yb}^{3+}$  ion compared with the  $\text{Na}^+$  and  $\text{Ca}^{2+}$  ions, leading to the large distortions of the ACTO lattice, possibly causing  $\text{Cu}$  segregation along the GBs.

Fig. 3 displays the  $\epsilon'$  and  $\tan\delta$  as a function of frequencies for the NCYbCTO samples at 20 °C. The giant  $\epsilon'$  value of all ceramics was almost independent of the frequency over the frequency range of  $10^2$ – $10^6$  Hz. A rapid drop in  $\epsilon'$  the values at  $10^5$  Hz for the ceramic samples is clearly observed, which is consistent with the observed strong increase in  $\tan\delta$

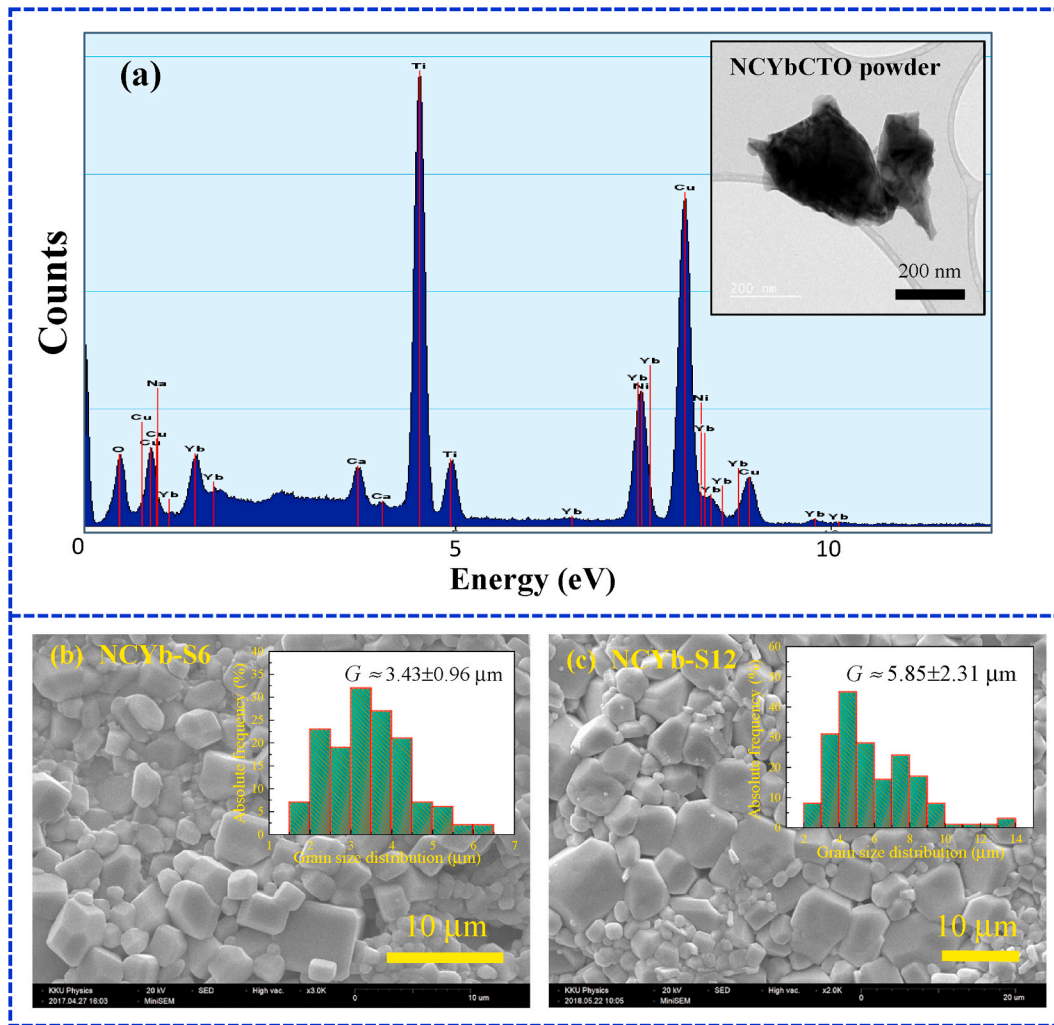


Fig. 2. (a) EDS spectra of the NCYbCTO powder; inset shows TEM image of morphology of the NCYbCTO powder. The SEM images of the surfaces revealing the morphologies of (b) NCYb-S6 and (c) NCYb-S2 ceramic samples.

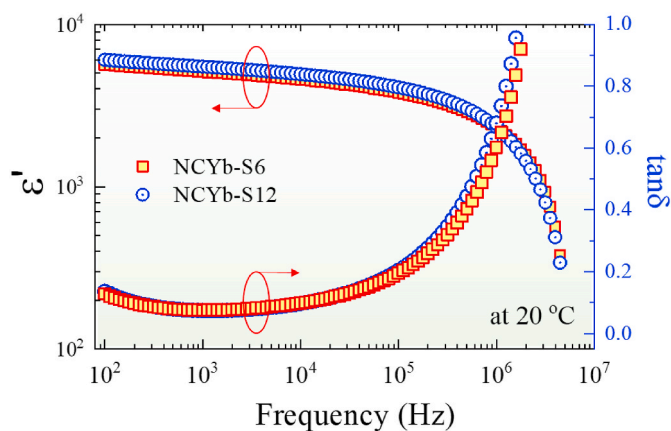


Fig. 3. Frequency dependence of  $\epsilon'$  and  $\tan\delta$  at 20 °C for the  $(\text{Na}_{1/3}\text{Ca}_{1/3}\text{Yb}_{1/3})\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics sintered at 1075 °C for 6 and 12 h.

values at high frequencies. This behavior is known as the primary dielectric relaxation mechanism, and is similar to that reported for CCTO-related ceramics [4,13,15,20]. The values of  $\epsilon'$  at 1 kHz and 20 °C for NCYb-S6 and NCYb-S12 were 5095 and 5488, respectively. According to the space charge polarization model based on the IBLC effect

at the GBs mechanism, the dielectric response results of the NCYbCTO ceramics are likely associated with the average grain size, as observed in the SEM images in Fig. 2(b)–(c). The changed dielectric response is consistent with a change in microstructure, according to the IBLC structure model. This result is similar to observed in the previous work [24]. The  $\tan\delta$  values for the NCYb-S6 and NCYb-S12 samples were almost the same and were found to be approximately 0.075 at 1 kHz. The  $\epsilon'$  and  $\tan\delta$  values at 1 kHz and 20 °C for all ceramic samples are summarized in Table 1. The overall dielectric behavior as a function of frequency dependence in the NCYbCTO ceramics was similar to that observed for the ACTO family compounds [23,27,28]. Note that the enhanced dielectric properties may be optimized by varying the preparation conditions (sintering temperature and/or sintering time). Nevertheless, this determination is outside the scope of this work.

To study the dielectric electrical properties of the NCYbCTO ceramics, the dielectric relaxation behavior was studied by considering the frequency dependence of real part  $\epsilon'$  and imaginary part  $\epsilon''$  ( $\epsilon'' = \epsilon' \times \tan\delta$ ) at different temperatures, as illustrated in Fig. 4  $\epsilon'$  and  $\epsilon''$  vs. frequency for the NCYb-S6 and NCYb-S12 ceramics were plotted in the temperature range from –50 to 150 °C. It is noteworthy that a stepped  $\epsilon'$  reduction shifts to higher frequencies as the temperature increases. This behavior is known as the thermally activated dielectric relaxation effect [6,27,39,40]. Three parts of the dielectric response were observed, as reported by Li et al. [39]. The source of the high  $\epsilon'$ , exhibited at high temperature, in the low-frequency range might be caused by a

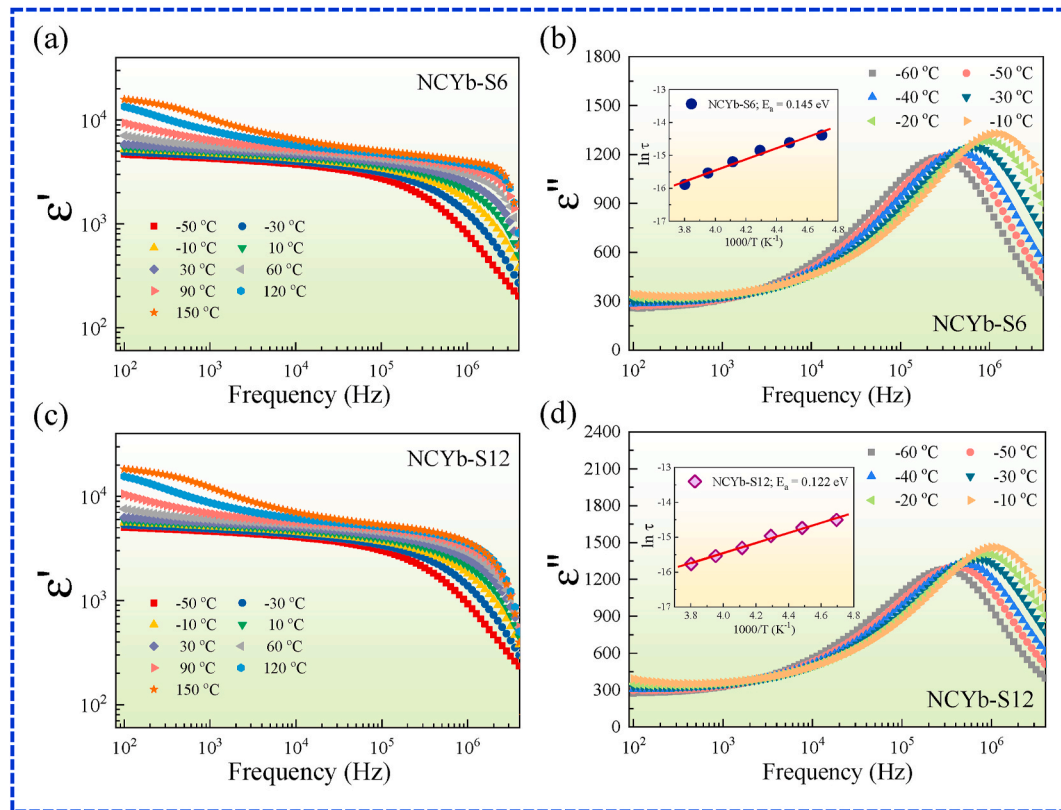


Fig. 4. Frequency dependence of (a)–(c)  $\epsilon'$  and (b)–(d)  $\epsilon''$  at different temperatures for  $(\text{Na}_{1/3}\text{Ca}_{1/3}\text{Yb}_{1/3})\text{Cu}_3\text{Ti}_4\text{O}_{12}$  samples. Insets of (b) and (d) show Arrhenius plots for the temperature dependence of  $\tau$  for the NCYb-S6 and NCYb-S12 samples, respectively.

sample-electrode interface response [39], while the high frequency  $\epsilon'$  originates from the electrical response inside grains or bulk response [20]. The observed primary plateau  $\epsilon'$  at intermediate frequencies is attributed to the dielectric behavior of GBs [4,26,28,41]. This dielectric behavior is typically observed in CCTO-and ACTO-related ceramics. As shown in Fig. 4(b) and (d). The relaxation peaks of  $\epsilon''$  shifted to high frequencies as the temperature increased, corresponding to a rapid decrease in  $\epsilon'$ . Using the dielectric relaxation results, the dielectric relaxation times ( $\tau$ ) at various temperatures were obtained and can also be calculated using the relations  $\omega\tau = 1$  and  $\omega = 2\pi f_{\text{peak}}$ , where  $f_{\text{peak}}$  is the response frequency corresponding to the peak of  $\epsilon''$ . The temperature variation of the  $\tau$  values follows Arrhenius's law:

$$\tau = \tau_0 \exp\left(\frac{U}{k_B T}\right), \quad (1)$$

where  $U$  is the activation energy required for thermal relaxation,  $\tau_0$  is the pre-exponential factor,  $k_B$  is Boltzmann's constant, and  $T$  is the absolute temperature. As illustrated in Fig. 4(b) and (d), the good linear  $\tau$  values were fitted by Eq. (1). From the fitting results, the calculated activation energies of the dielectric relaxations were found to be 0.145 and 0.122 eV for the NCYb-S6 and NCYb-S12 ceramics, respectively, which are comparable to those reported for CCTO (0.093 eV),  $\text{Na}_{1/2}\text{Y}_{1/2}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  (0.112 eV),  $\text{Y}_{2/3}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  (0.112–0.123 eV), and  $\text{Na}_{1/3}\text{Ca}_{1/3}\text{Bi}_{1/3}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics (0.112–0.121 eV). These activation energies are related to the bulk response of the ceramics.

Fig. 5 displays the  $\epsilon'$  at 1 kHz as a function of temperatures for the NCYbCTO samples. The high  $\epsilon'$  values in the order of  $10^3$  are relatively stable over a wide temperature range of -60 - 100 °C. It is worth noting that  $\epsilon'$  increased with increasing temperature at 50 °C, corresponding to an increase in  $\tan\delta$  values (inset of Fig. 5). The behavior of high-temperature range for both samples was a result of the direct current conductivity of delocalized charges in the bulk, as observed for CCTO

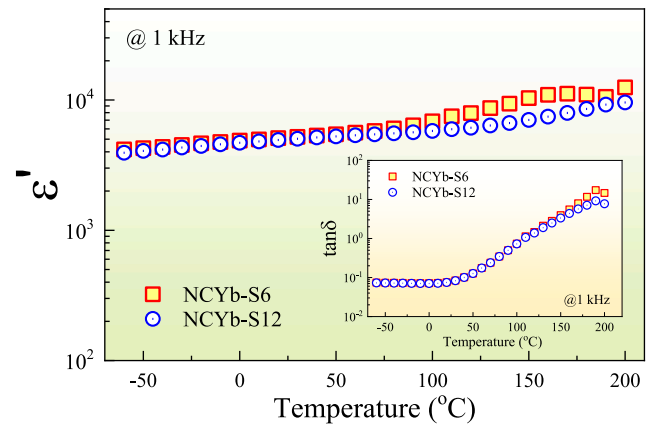
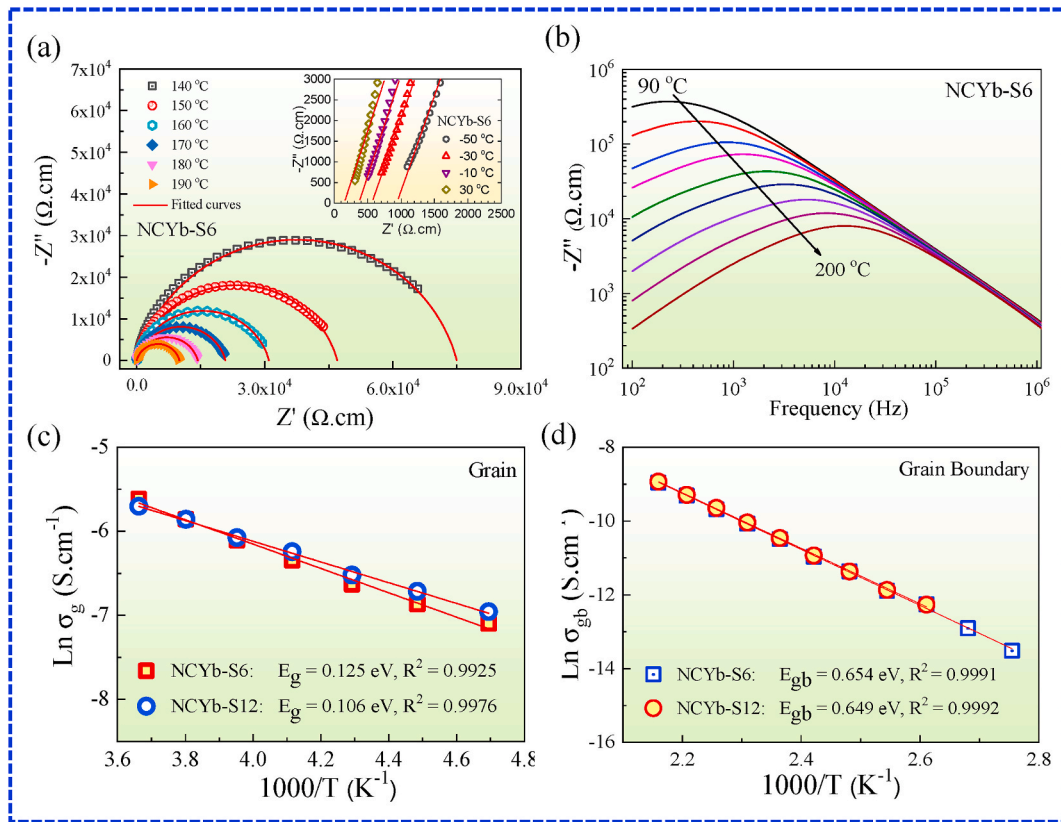


Fig. 5. Temperature dependence of  $\epsilon'$  and  $\tan\delta$  (inset) at 1 kHz for the  $(\text{Na}_{1/3}\text{Ca}_{1/3}\text{Yb}_{1/3})\text{Cu}_3\text{Ti}_4\text{O}_{12}$  samples sintered at 1075 °C for 6 and 12 h.

ceramics and other related materials [6,35,42].

In accordance with the IBLC structure for ACTO polycrystalline ceramics, an ideal equivalent circuit consisting of two parallel resistor-capacitor ( $R$ - $C$ ) elements connected in series was proposed, one  $RC$  element for the grain part (consisting of the grain resistance ( $R_g$ ) and the capacitance of the grains ( $C_g$ )) and the another for the GB part (consisting of the GB resistance ( $R_{gb}$ ) and capacitance of GBs ( $C_{gb}$ )) [20]. Impedance spectroscopy analysis is often used to study the electrically heterogeneous microstructure of electroceramics [20,36,39,43,44]. The impedance data for the samples were calculated after the sample geometry by considering the ratio of the area of the electrode/pellet thickness. To investigate the nature of the GBs, as shown in Fig. 6(a), the values of  $R_{gb}$  at any temperature were evaluated by fitting complex



**Fig. 6.** (a) Impedance complex plane plots in the temperature range of 150–190 °C for the NCYb-S6 sample; red solid curves are the fitted data using Eq. (2). Inset of (a) show an expanded view of the high-frequency data close to the origin in the temperature range from –50 to 30 °C; red solid lines are the eye guidelines for estimating  $R_g$ . (b) Frequency dependence of  $-Z''$  for the NCYb-S6 sample at various temperature from 90 °C to 190 °C. Arrhenius plots of (c) grain conductivity ( $\sigma_g$ ) and (d) GB conductivity ( $\sigma_{gb}$ ) of the NCYbCTO samples. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

impedance ( $Z^*$ ) data in temperature range of 140–190 °C of the NCYb-S6 sample using a modified equation corresponding to the equivalent circuit of the modified equation for a  $Z^*$  plot as follows [6]:

$$Z^* = R_g + \frac{R_{gb}}{1 + (i\omega R_{gb} C_{gb})^\alpha}, \quad (2)$$

where  $\alpha$  is a constant value ( $0 < \alpha \leq 1$ ) and  $C_g$  and  $C_{gb}$  are the capacitances of the grains and GBs, respectively.  $C_g$  is inaccessible, and the capacitor can be ignored from the circuit. As shown in Fig. 6(a), the diameter of the semicircle arcs and the nonzero intercept of the NCYb-S6 sample decreased with increasing temperature, indicating that a thermal activation process occurred at the GBs, corresponding to a reduction in  $R_{gb}$ . Concurrently,  $R_g$  tended to decrease with increasing temperature, as estimated by the eye-red guidelines (inset of Fig. 6(a)), confirming the semiconducting nature of the grains in the sample. It is clear that the NCYbCTO ceramics are electrically heterogeneous, consisting of an insulating part of the GB layers sandwiched by semiconducting grains. Therefore, it is reasonable to suggest that the high  $\epsilon'$  values of the NCYbCTO ceramics were likely caused by interfacial polarization at the GBs. This behavior is usually observed to describe the colossal dielectric behavior in CCTO and  $\text{ACu}_3\text{Ti}_4\text{O}_{12}$  ceramics [23,40,45].

To optimize the results, we also studied the frequency dependence of  $-Z''$  measured in the temperature range of 90–200 °C for the C1–S6 ceramic, as illustrated in Fig. 6. The apparent maximum values of  $Z''$  change to higher frequencies with increasing temperature, which indicates a thermal excitation process at the GBs. The apparent  $Z''$  is consistent with a large semicircular arc [Fig. 6(a)] and represents the electrical response of the GB. Using the relationship between  $R_{gb}$  and  $Z''$ ,  $R_{gb}$  at various temperatures can be calculated from the maximum  $Z''$

peak,  $Z''_{\max} = R_{gb}/2$ . The activation energy required for the conduction of charge carriers in the grains ( $E_g$ ) and GB region ( $E_{gb}$ ) can be calculated using the derived Arrhenius law:

$$\ln \sigma_{g/gb} = \left( \frac{-E_{g/gb}}{k_B T} \right) + \ln \sigma_0 \quad (3)$$

where  $\sigma_{g/gb} = 1/R_{g/gb}$ ,  $\sigma_0$  is the pre-exponential term,  $T$  is the absolute temperature (K),  $k_B$  is the Boltzmann constant, and  $E_g$  and  $E_{gb}$  are the grain and GB activation energies of the grain and grain boundaries, respectively. As shown in Fig. 6(c) and (d), the experimental data were fitted using Eq. (3). For the fitted curves, the diameter of the solid line curve was adjusted by tuning the  $R_{gb}$  parameter and did not depend on the  $C_{gb}$  values. The  $E_g$  and  $E_{gb}$  values for all the ceramic samples were obtained from the slopes of the plots. The calculated  $E_g$  values of the NCYb-S6 and NCYb-S12 ceramics were 0.125 and 0.106 eV, respectively, while the calculated  $E_{gb}$  values were 0.654 and 0.648 eV, respectively (summarized in Table 1). The obtained  $E_g$  and  $E_{gb}$  values were nearly the same as those reported for CCTO and related  $\text{ACu}_3\text{Ti}_4\text{O}_{12}$  ceramics [23,26,36]. The large difference of  $E_g$  and  $E_{gb}$  values indicates the formation of an IBLC structure, which is an important cause of the dielectric response observed in CCTO-type materials. Considering the electrical response at the GBs, it was found that the  $E_{gb}$  values of the NCYbCTO ceramics are lower than that the values of 0.677–0.797 eV observed in  $\text{Na}_{1/3}\text{Ca}_{1/3}\text{Y}_{1/3}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics [23,24]. In meanwhile, the values are higher than the observed in  $\text{Na}_{1/3}\text{Ca}_{1/3}\text{Bi}_{1/3}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  ceramics [25]. It is indicated that the containing  $\text{Yb}_2\text{O}_3$  concentration in the ACTO structure might play a significant role for changing intrinsic properties (e.g. Schottky barrier) at the GBs. Therefore, these results confirmed that the microstructure of the NCYbCTO

ceramics was electrically heterogeneous, and the dielectric response could be attributed to interfacial polarization based on the IBLC model. However, the intrinsic origin of the mixed valence states of  $\text{Cu}^+$  and  $\text{Ti}^{3+}$  and/or the point defects inside the grains cannot be ignored and absurdly excluded [46].

In addition to the study of the electrical response of the NCYbCTO ceramics using impedance analysis, this behavior was also studied using the alternating current (AC) conductivity ( $\sigma_{ac}$ ) as a function of temperature. As shown in Fig. 7, the temperature dependence of the  $\sigma_{ac}$  values over the frequency range of  $10^2$ – $10^6$  Hz was investigated for the selected NCYb-S6 ceramic. It was found that the values of  $\sigma_{ac}$  in the low-frequency range almost saturate at a constant value, which is close to the direct current (dc) conductivity ( $\sigma_{dc}$ ). This result indicates that the electric field does not affect the hopping conduction mechanism at low frequencies. Therefore,  $\sigma_{ac}$  at  $10^2$  Hz can be estimated from  $\sigma_{dc}$ . As shown in Fig. 7,  $\sigma_{dc}$  increased with increasing temperature. Arrhenius plots for both ceramics at different frequencies are shown in the inset of Fig. 7. The relationship between  $1000/T$  and  $\ln \sigma_{dc}$  divides the activation energy of the DC conductivity and follows the Arrhenius principle [47]:

$$\sigma_{dc} = \sigma_0 \exp\left(\frac{-E_{cond}}{k_B T}\right) \quad (4)$$

where  $E_{cond}$  is the activation energy required for the DC conduction. The  $E_{cond}$  values were calculated to be 0.661 and 0.639 eV for the NCYb-S6 and NCYb-S12 samples, respectively. These values were close to the  $E_{gb}$  values. This implies that the DC conduction process is closely associated with the electrical response of the GBs. It is evident that the large dielectric behavior of the NCYbCTO ceramics arises from the IBLC model based on the interfacial polarization effect.

The nonlinear  $J$ - $E$  behaviors at RT of the NCYbCTO ceramics under different preparation conditions were also studied, as shown in Fig. 8. It was observed that all ceramic samples exhibited nonlinear behavior. The  $\alpha$  values were calculated using Eq. (1) and were found to be 4.83 and 4.92 for the NCYb-S6 and NCYb-S12 ceramics, respectively. The  $E_b$  values were 3591 V/cm and 3559 V/cm, respectively. Both the  $E_b$  and  $\alpha$  values for all the samples are summarized in Table 1. The nonlinear  $J$ - $E$  properties of all sintered NCYbCTO ceramic samples differed slightly. Values of  $\alpha$  and  $E_b$  slightly increased when increasing sintering duration. This observed result is close to studied in the previous work [24]. The variation of  $E_b$  values are associated with the change of the  $R_{gb}$  in the ACTO-type ceramics, corresponding to the geometric (volume fraction

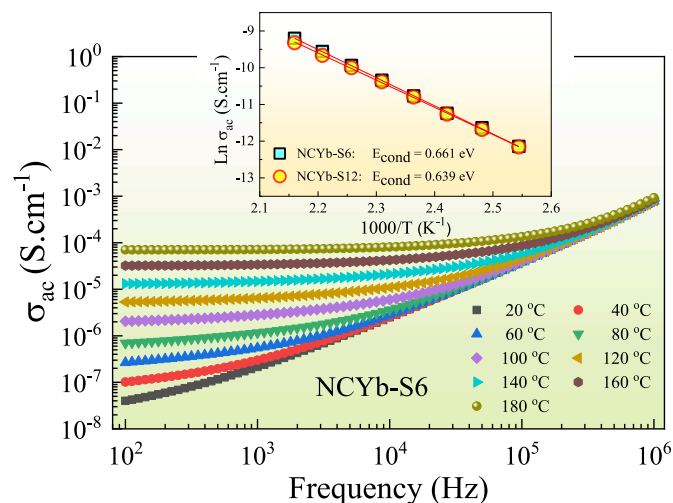


Fig. 7. Frequency dependence of  $\sigma_{ac}$  at various temperature for the NCYb-S6 samples; inset shows Arrhenius plot of dc conductivity ( $\sigma_{dc}$ ) for the  $\text{Na}_{1/3}\text{Ca}_{1/3}\text{Yb}_{1/3}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  samples sintered at 1075 °C for 6 and 12 h.

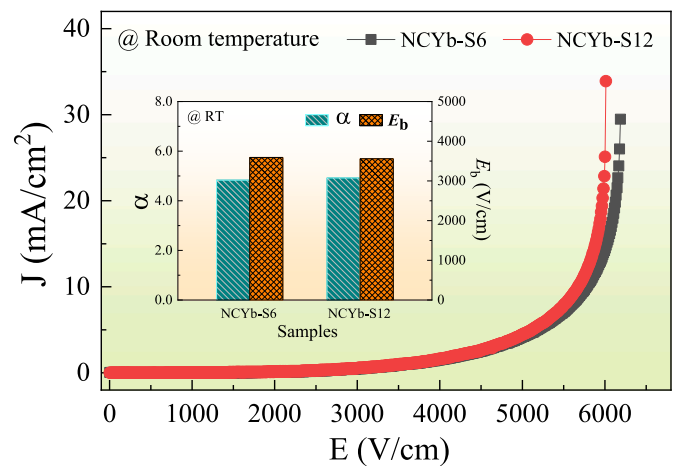


Fig. 8. Nonlinear ( $J$ - $E$ ) characteristics of the  $\text{Na}_{1/3}\text{Ca}_{1/3}\text{Yb}_{1/3}\text{Cu}_3\text{Ti}_4\text{O}_{12}$  samples at room temperature; inset shows values of  $\alpha$  and  $E_b$ .

of GBs) and intrinsic properties ( $E_{gb}$  and  $\Phi_b$ ) at GBs. In general, both the geometric and intrinsic properties of grain and GBs affect the nonlinear behaviors. It is worth noting that the variation in  $E_b$  was consistent with the number of grain boundary layers in the thickness of each ceramic. In this case, it was found that  $E_b$  of NCYbCTO ceramics slightly reduced as the average grain size increased. This indicates that the intrinsic properties of the GBs was dominant than the geometric, which is likely because of the different values of  $\Phi_b$  at the GBs of the ceramics. This nonlinear response can be used in varistors to detect high-voltage transients entering the circuit of an electronic device. It has been accepted extensively that the nonlinear  $J$ - $E$  behavior of CCTO-based polycrystalline ceramics may be resulted from the presence of Schottky potential barriers at the GBs sandwiched between semiconducting grains based on the electrically heterogeneous IBLC structure.

To study the possible source of the electrical properties of the grains in the ceramics, XPS was employed to investigate the oxidation states of the polyvalent cations related to the  $n$ -type semiconducting grains in the bulk. Fig. 9 displays the XPS spectrum of the  $\text{Cu}2p$  region of the C1-S6 ceramic. The  $\text{Cu}2p$  region can be divided into three peaks using Gaussian-Lorentzian profile fitting. The highest XPS peak ( $\approx 933$  eV) is attributed to the presence of  $\text{Cu}^{2+}$  in the NCYbCTO structure [25,41,46]. The smaller peaks at relatively lower ( $\approx 931$  eV) and higher ( $\approx 935$  eV) binding energies indicate the presence of  $\text{Cu}^+$  and  $\text{Cu}^{3+}$  ions, respectively [23–25]. The calculated ratios of  $\text{Cu}^+/\text{Cu}^{2+}$  and  $\text{Cu}^{3+}/\text{Cu}^{2+}$  for

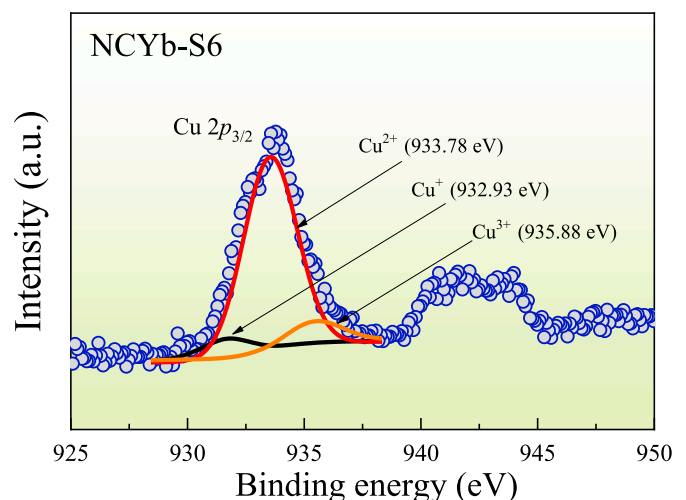


Fig. 9. XPS spectra for the  $\text{Cu}2p$  region for the NCYb-S6 sample.

the NCYbCTO ceramic were approximately 12.38% and 10.56%, respectively. Additionally, the ratio of  $\text{Ti}^{3+}/\text{Ti}^{4+}$  obtained from the XPS technique was calculated and found to be in close proximity. The presence of  $\text{Cu}^+$ ,  $\text{Cu}^{3+}$ , and  $\text{Ti}^{3+}$  ions may affect the electrical semi-conducting properties of the grains. Although the origin of semiconducting grains in NCYbCTO ceramics is very complex, it is likely that the conduction mechanism of the grains may be attributed to the charge carrier hopping processes in the NCYbCTO structure.

#### 4. Conclusions

NCYbCTO ceramics were prepared by a simple thermal decomposition method under different sintering conditions. By optimizing the sintering conditions, the NCYbCTO ceramic exhibited a low  $\tan\delta$  of approximately 0.075 with high  $\epsilon'$  values of  $5.8 \times 10^3$  at 1 kHz and 20 °C. Nonlinear  $J$ - $E$  behaviors were observed in the NCYbCTO ceramics and were found to be closely consistent with the microstructural changes. It was found that the ceramics had electrically heterogeneous microstructures confirmed by the impedance spectroscopy technique. The large dielectric response is likely caused by the strong interfacial polarization at the GBs. XPS was used to confirm the presence of  $\text{Cu}^+$ ,  $\text{Cu}^{3+}$ , and  $\text{Ti}^{3+}$  ions. The presence of these ions may affect the electrical semiconducting properties of the grains. The dielectric behavior and nonlinear properties were described based on the IBLC effect.

#### Author statement

**Jutapol Jumptam:** Conceptualization, Methodology, Data curation, Resources, Investigation, Validation, Visualization, Writing - Original Draft, Writing - review & editing, Funding acquisition, Project administration. **Jakkree Boonlakhorn:** Data curation, Investigation, Validation. **Bundit Putasaeng:** Formal analysis, Investigation, Resources. **Narong Chanlek:** Formal analysis, Investigation, Resources. **Prasit Thongbai:** Conceptualization, Data curation, Resources, Validation, Supervision.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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